

32692

Customer Number



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Patent

Case No.: 59516US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CONNER, ARLIE R.

Application No.: 10/776390

Group Art Unit: 2875

Filed: February 11, 2004

Examiner: Bao Q. Truong

Title: LIGHT-COLLECTING ILLUMINATION SYSTEM

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]	
I hereby certify that this correspondence is being:	
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11-3-05	<i>[Handwritten signature: Kim Elfstrom]</i>
Date	Signed by: Kim Elfstrom

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.


Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional

fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

November 2, 2005
Date

By: 
Anna A. Kobilansky, Reg. No.: 53,146
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Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 2

Application Number

10/776,390

Filing Date

February 11, 2004

First Named Inventor

Arlie R. Conner

Art Unit

2875

Examiner Name

Bao Q. Truong

Attorney Case Number

59516US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2002/0003636	01-02	Conner	
	A2	US- 2003/147055	08-07-03	Yokoyama	
	A3	US- 2003/0043582	03/03	Chan	
	A4	US- 2005/0094401	05-05	Magarill	
	A5	US- 2005/0174768	08-05	Conner	
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	A9	US- 5,398,086	03-95	Nakano	
	A10	US- 5,557,353	09-96	Stahl	
	A11	US- 5,839,823	11-24-98	Hou	
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	A15	US- 6,038,005	03-00	Handschy	
	A16	US- 6,102,552	08-00	Tullis	
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	A18	US- 6,280,058	08-01	Horigome	
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	A21	US- 6,639,572	10-03	Little	

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	WO	2002/065184	08-22-02			
	B2	WO	2004/043076	5-21-04			
	B3	EP	0 795 771	09-17-97			
	B4	EP	0 083 527	07-13-83			

*Examiner:

Date Considered:

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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 2 of 2	Application Number	10/776,390
	Filing Date	February 11, 2004
	First Named Inventor	Arlie R. Conner
	Art Unit	2875
	Examiner Name	Bao Q. Truong
	Attorney Case Number	59516US002

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		Ctry. Code	Number-KindCode (If known)				
	B5	EP	1 363 460	11-19-03			
	B6	EP	1 347 653	09-24-03			
	B7	EP	0 493 800	07-08-92			
	B8						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Light Emitting Diodes 2003, October 15-17, 2002, "Optical Design for LED Based Devices" Juan Manuel Teijido, Sony International (Europe) GmbH, Sony Corporate Laboratories Europe	
	C2		
	C3		

*Examiner:	Date Considered:
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